

01-02-01

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. LUD-5543 3 CONT.

First Inventor or Application Identifier L DUMOUTIER, et al

Title ISOLATED NUCLEIC ACID MOLECULES WHICH ENCODE T CELL INDUCIBLE FACTORS

Express Mail Label No. EL642112066US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification (preferred arrangement set forth below) Total Pages 43
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Reference of Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 1
4. ☒ Oath or Declaration Total Pages 3
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R. §§
1.63(d)(2) and 1.33 (b)
5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or
declaration is supplied under Box 4b, is considered to be a part of the
disclosure of the accompanying application and is hereby incorporated by
reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
(IDS)/PTO-1449
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity Statement(s) ☒ Statement filed in prior
(PTO/SB/09-12) application, Status is proper and
desired
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Other: Check For Filing Fee

*** NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09/419,568

Prior application information:

Examiner: A. Decloux

Group / Art Unit: 1644

18. CORRESPONDENCE ADDRESS

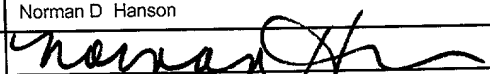
☐ Customer Number or bar code label

(Insert Customer No. or Attach bar code label here)

or



Correspondence address below

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Name (Print/Type)	Norman D. Hanson		Registration No. (Attorney/Agent)	30,946
Signature			Date	December 27, 2000

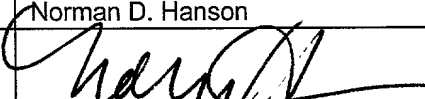
FEE TRANSMITTAL	<i>Complete if Known</i>	
	Application Number	To be assigned
	Filing Date	Herewith
	First Named Inventor	L. DUMOUTIER, et al.
	Group Art Unit	To be assigned
	Examiner Name	To be assigned
	Attorney Docket No.	LUD-5543.3 CONT.

FEE CALCULATION

(1)	(2)	(3)	(4)	(5)
FOR: Small entity	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$355.00
TOTAL CLAIMS	12-20 =	0	x 9.00/18.00	\$0
INDEPENDENT CLAIMS	1- 3 =	0	x 40.00/80.00	\$0
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$260/130.00	_____
			TOTAL FEES	\$355.00

METHOD OF PAYMENT

- ☐ Please charge Deposit Account No. 50-0624 in the amount of \$_____
- ☒ A check for \$355.00 is enclosed to cover the cost of the Application filing fee.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 50-0624. A duplicate of this sheet is enclosed.

SUBMITTED BY:		<i>Complete (if applicable)</i>
Typed or Printed Name	Norman D. Hanson	Reg. No. 30,946
Signature		Deposit Account No. 50-0624
Date: December 27, 2000		

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label # EL642112066US

Date of Deposit 12/29/00

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

ANNETTE CROSSAN
(Name of Depositor)

Annette Crossan
(Signature of Depositor)

LUD 5543.3 CIP (09907354) - JEL/NDH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : L. DUMOUTIER, et al.

Serial No. : Continuation of 09/419,568

Filed : Herewith

For : ISOLATED NUCLEIC ACID MOLECULES WHICH ENCODE T CELL INDUCIBLE FACTORS (TIFs), THE PROTEINS ENCODED, AND USES THEREOF

Art Unit : To Be Assigned

Examiner : To Be Assigned

December 29, 2000

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

**LETTER
RE: SEQUENCES
(37 CFR § 1.821e)**

SIR:

This application is a continuation of Serial No. 09/419,568, filed October 18, 1999. A sequence listing was filed in the parent application on November 10, 2000. Please transfer that computer readable form of sequence information to this case, and make the attached paper copy thereof of record in this case.

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